

## 1 A Appendix

### 2 A.1 Details about Benchmarks

3 We introduce four types of testing environments as shown in Fig. 1 in our paper, including Hallway [6],  
4 Level-Based Foraging (LBF) [2], Traffic Junction (TJ) [1], and two maps named 1o2r\_vs\_4r and  
5 1o10b\_vs\_1r requiring communication from StarCraft Multi-Agent Challenge (SMAC) [6]. In this  
part, we will describe the details of these environments used.

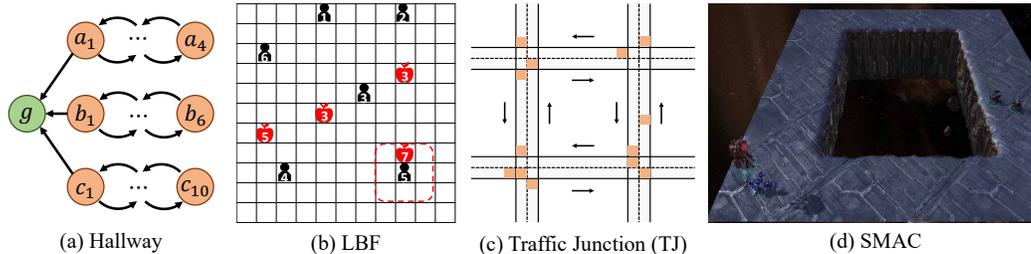


Figure 1: Multiple benchmarks used in our experiments.

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7 **Hallway** We design two instances of the Hallway environment. In the first instance, we apply three  
8 hallways with lengths of 4, 6, and 10, respectively. That means we let three agents  $a, b, c$  respectively  
9 initialized randomly at states  $a_1$  to  $a_4$ ,  $b_1$  to  $b_6$ , and  $c_1$  to  $c_{10}$ , and require them to arrive at state  
10  $g$  simultaneously. In the second instance, we divide 5 agents into two groups. The first group has  
11 hallways with lengths of 3 and 5, and the second group has hallways with lengths of 4, 6, and 10. A  
12 reward of 1 will be given if one group arrives at the goal  $g$  simultaneously. However, if both groups  
13 reach the goal simultaneously, a penalty of  $-0.5$  will be given.

14 **Level-Based Foraging (LBF)** We use a variant version of the original environment used in [2],  
15 where we define the state to be a data structure that can represent the true global state instead of  
16 concatenating the observations of all agents directly. On this basis, we use two environment instances  
17 with different configurations, of which one is an  $11 \times 11$  grid world with 6 agents, 4 foods, and the  
18 other is a  $20 \times 20$  grid world with 10 agents, 6 foods. In both instances, the observation of agents is a  
19  $3 \times 3$  field of view around it.

20 **Traffic Junction (TJ)** We use the *medium* and *hard* versions of the Traffic Junction environments.  
21 The *medium* version has an agent number limit of 10, and the road dimension is 14, while the *hard*  
22 version has an agent number limit of 20, and the road dimension is 18. In both of these two instances,  
23 the sight of the agent is limited to 0, which means each agent can only observe a  $1 \times 1$  field of view  
24 around it.

25 **StarCraft Multi-Agent Challenge (SMAC)** We use two maps named 1o2r\_vs\_4r and 1o10b\_vs\_1r  
26 in SMAC, which are introduced in NDQ [6]. In 1o2r\_vs\_4r, an Overseer finds 4 Reapers, and the ally  
27 units, 2 Roaches, need to reach enemies and kill them. Similarly, 1o10b\_vs\_1r is a map full of cliffs,  
28 where an Overseer detects a Roach, and the randomly spawned ally units, 10 Banelings, are required  
29 to reach and kill the enemy.

### 30 A.2 Details about the algorithms involved in paper

31 Several algorithms are involved in our work, including Full-Comm, NDQ [6], TarMAC [1], TMC [8]  
32 and QMIX [3]. All these methods follow the setting of Dec-POMDP in our experiments, which  
33 means that each agent can only have access to its individual partial observation at each timestep.  
34 Some algorithms among them (Full-Comm, NDQ, TarMAC, TMC) will do communication to base  
35 each agent’s decision-making on richer information, while QMIX has no communication and always

36 let agents make decisions based on their local observations (or observation histories). In Table 1, we  
 37 offer a comparison between these baselines and our method from different dimensions.

Table 1: Comparison of various algorithms used in this paper

Name	Type of communication	Where to process the information (the Sender/Receiver)	Matched scenarios
MASIA(ours)	Full	Receiver	No Restrictions
Full-Comm	Full	No	Without redundant information
NDQ	Full	Sender	Value function is nearly decomposable
TarMAC+QMIX	Full	Receiver	Message with relative importance
TMC	Time-Partial	Sender & Receiver	Message with transmission loss
QMIX	No	No	Full observation or easy coordination

### 38 A.3 Implementation Details

#### 39 A.3.1 Network Architecture and Hyper-parameters

40 **Integration Network** The Information Aggregation Encoder (IAE) in our approach consists of a  
 41 Self-Attention Network and an Integration Network. Here we describe the details of the Integration  
 42 Network. We introduce 3 different kinds of integration networks as shown in Fig. 2.

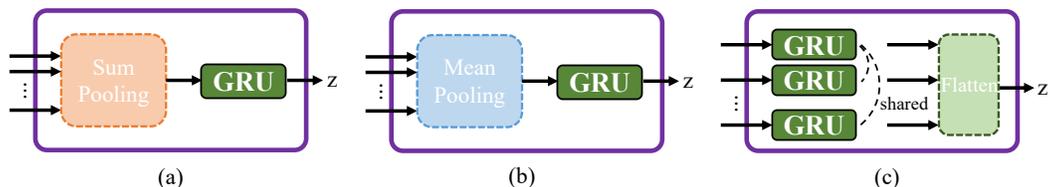


Figure 2: Three versions of implementation for integration network. The dotted lines in (c) indicate that these GRU networks share the same parameters.

43 Among these three versions of integration networks, (a) and (b) apply *mean* and *sum* pooling  
 44 operations, respectively, on the output vectors of the self-attention network, while in the third  
 45 version (c), we let the self-attention network’s output vectors pass through a shared GRU network  
 46 separately and finally flatten them as the obtained aggregation representation. In (a) and (b), no  
 47 matter how we permute the agents, we will always obtain the same aggregation representation. In  
 48 (c), the permutation of the agents will only affect the order of some dimensions of the aggregation  
 49 representation instead of obtaining a totally different representation in some vanilla designs, such  
 50 as networks with Multi-Layer Perceptions. In practice, we find that design (c) achieves the best  
 51 performance among these three integration networks, and all the experiment results shown in our  
 52 paper are based on this implementation.

53 **Hyper-parameters** Our implementation of MASIA is based on the EPyMAREL<sup>1</sup> [4] with StarCraft  
 54 2.4.6.2.69232 and uses its default hyper-parameter settings. For example, we apply the default  
 55  $\epsilon$ -greedy action selection algorithm to each method, which means  $\epsilon$  decays from 1 to 0.05 in 50K  
 56 timesteps. The selection of the additional parameters introduced in our approach is listed in Table 2.  
 57 We use this set of parameters in all experiments shown in this paper except for the ablations.

#### 58 A.3.2 Experimental Details

59 Our experiments were performed on a desktop machine with 4 NVIDIA GTX 3090 GPUs. For all the  
 60 performance curves in our paper, we pause training every  $M$  timesteps and evaluate for  $N$  episodes  
 61 with decentralized greedy action selection. The  $(M, N)$  in Hallway [6], Level-Based Foraging [2],  
 62 Traffic Junction [1] and SMAC [6], are (10K, 100), (50K, 100), (10K, 40) and (50K, 100), respec-

<sup>1</sup><https://github.com/uoae-agents/epymar1>

Table 2: Hyper-parameters in experiments

name	value
hidden dimension for query and key in self-attention module	16
output dimension of self-attention module	32
$\lambda_2$ (coefficient of latent model learning loss)	1
$\lambda_1$ (coefficient of encoder-decoder learning loss)	1
action embedding dimension in latent model	8
dimension for each agent in the aggregation representation	8
observation embedding dimension before concatenated with $z$	32
whether to predict the residuals of the next state	True
prediction length $K$ in latent model	2
hidden dimension for hidden states in latent model	64

63 tively. We evaluate the test win rate, the percentage of episodes in which the agents win the game  
 64 within the time limit in  $N$  testing episodes for all tasks.

65 **A.4 Sensibility of Prediction Length  $K$**

66 To study the function of the second self-supervised objective we  
 67 designed, we apply different latent model prediction lengths  $K$   
 68 in the task of TJ (medium). The learning results of the beginning  
 69 0.3M samples are shown in Fig. 3. From the learning curves of  
 70 different  $K$ , we can find that algorithms applying a latent model  
 71 learning objective ( $K > 0$ ) can learn faster than that without this  
 72 objective ( $K = 0$ ). Besides, although our experiments in the main  
 73 text uniformly set the prediction length  $K$  to 2, we find that the  
 74 algorithm learns fastest when  $K$  is set to 4, which indicates that  
 75 fine-tuning the hyper-parameters of the self-supervised learning  
 76 can further advance the performance of MASIA.

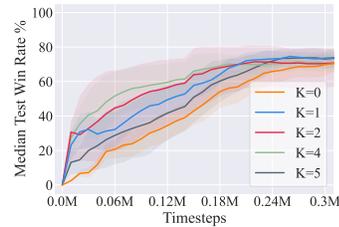


Figure 3: Ablation study for the prediction length  $K$ .

77 **A.5 Ablation for Representation Objectives**

78 In our work, we propose two representation objectives to make the aggregated information repre-  
 79 sentation *compact* and *sufficient*. To further justify the effectiveness of these two objectives, we  
 80 conduct ablations for the hyper-parameter  $\lambda_1$  and  $\lambda_2$ . Specifically, we compare with three ablations:  
 81 (1)  $\lambda_1 = 1, \lambda_2 = 0$ ; (2)  $\lambda_1 = 0, \lambda_2 = 1$ ; (3)  $\lambda_1 = 0, \lambda_2 = 0$ , which respectively correspond to (1)  
 82 only use encoder-decoder learning loss; (2) only use latent model learning loss; (3) neither loss  
 83 is used. While our method (MASIA) corresponds to  $\lambda_0 = 1, \lambda_1 = 1$ . We conduct the ablation  
 84 experiments in the tasks of Hallway and medium level TJ, and run each ablation for 5 random seeds.  
 85 The experimental results for Hallway are illustrated in Fig. 4 and those for TJ (medium) are listed in  
 Table 3. From both of these experimental results, we can see that MASIA with two representation

Table 3: Ablation experiments in the task of TJ (medium).

	1M	2M	4M
$\lambda_1 = 0, \lambda_2 = 1$	0.8125 $\pm$ 0.0473	0.8667 $\pm$ 0.0514	0.8950 $\pm$ 0.0292
$\lambda_1 = 1, \lambda_2 = 0$	0.7750 $\pm$ 0.0652	0.8550 $\pm$ 0.0534	0.9200 $\pm$ 0.0430
$\lambda_1 = 0, \lambda_2 = 0$	0.8000 $\pm$ 0.0204	0.8583 $\pm$ 0.0624	0.9167 $\pm$ 0.0312
$\lambda_1 = 1, \lambda_2 = 1$	<b>0.8938</b> $\pm$ 0.0480	<b>0.9000</b> $\pm$ 0.0637	<b>0.9225</b> $\pm$ 0.0375

86 objectives outperforms all ablations. In the task of Hallway, MASIA can learn to solve the task faster  
 87 in both settings of 4x6x10 and 3x5-4x6x10. Especially, some random seeds of the third ablation fail  
 88 to solve the task 3x5-4x6x10 within 2M samples, which shows the indispensable roles of these two  
 89

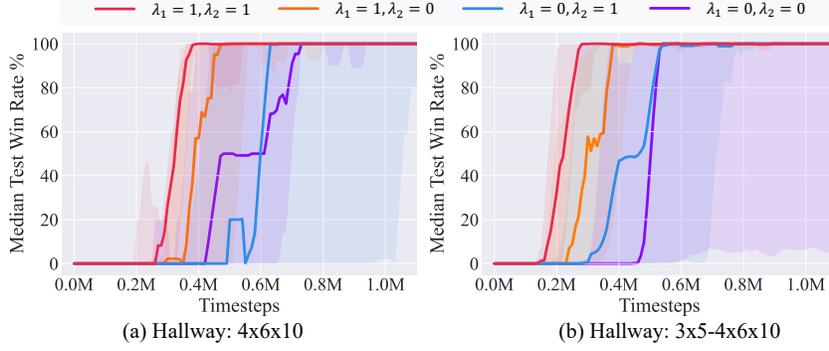


Figure 4: Ablation experiments in the tasks of Hallway.

90 representation objectives. Similarly, in the task of TJ (medium), we compare the ablations' average  
 91 test won rate performance at 1M, 2M, and 4M timesteps, respectively. Although three ablations show  
 92 similar convergence performance to MASIA, MASIA achieves the best performance when at 1M  
 93 timestep. This demonstrates that the proposed two representation objectives offer good guides and  
 94 accelerate the task learning.

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**Algorithm 1** Overall Training Framework

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- 1: Initialize replay buffer  $\mathcal{D}$ .
- 2: Initialize information aggregation encoder with random parameters  $\theta$  and state prediction decoder with random parameters  $\eta$ .
- 3: Initialize  $Q$  network with random parameters  $\phi$  and latent model with random parameters  $\psi$ .
- 4: Initialize parameters of target encoder  $\theta^- = \theta$ , and target  $Q$  network  $\phi^- = \phi$ .
- 5: **for** episode = 1 to  $M$  **do**
- 6:   Roll out one trajectory  $\tau$  with  $\epsilon$ -greedy policy in the environment.
- 7:   Store the trajectory  $\tau$  in  $\mathcal{D}$ .
- 8:   **if**  $|\mathcal{D}|$  is larger than batch size  $m$  **then**
- 9:     Sample a minibatch  $\mathcal{B}$  of  $m$  trajectories from  $\mathcal{D}$ .
- 10:    Compute the encoder-decoder loss:

$$\mathcal{L}_{ae}(\theta, \eta) = \sum_{\text{traj} \in \mathcal{B}} \sum_{t=1}^T \|g_\eta(z^t) - s^t\|_2^2, \quad z^t = f_\theta(\mathbf{o}^t).$$

- 11:    Compute the latent model loss:

$$\mathcal{L}_m(\theta, \psi) = \sum_{\text{traj} \in \mathcal{B}} \sum_{t=1}^{T-K} \sum_{k=1}^K \|\hat{z}^{t+k} - z^{t+k}\|_2^2,$$

$$\hat{z}^{k+1} = h_\psi(z^t, \mathbf{a}^t), \quad \hat{z}^{t+k} = h_\psi(\hat{z}^{t+k-1}, \mathbf{a}^{t+k-1}), \quad k = 2, \dots, K,$$

$$z^{t+k} = f_{\theta^-}(\mathbf{o}^{t+k}), \quad k = 0, \dots, K,$$

- 12:    Compute the reinforcement learning loss:

$$\mathcal{L}_{rl}(\theta, \phi) = \sum_{\text{traj} \in \mathcal{B}} \sum_{t=1}^{T-1} \left( r + \gamma \max_{\mathbf{a}'} Q_{\text{tot}}(\tau^{t+1}, \mathbf{a}'; \theta^-, \phi^-) - Q_{\text{tot}}(\tau^t, \mathbf{a}^t; \theta, \phi) \right)^2.$$

- 13:    Update  $\theta, \eta, \phi, \psi$  by minimizing  $\mathcal{L}_{rl}(\theta, \phi) + \lambda_1 \mathcal{L}_{ae}(\theta, \eta) + \lambda_2 \mathcal{L}_m(\theta, \psi)$ .
  - 14:    **end if**
  - 15:    Update target network parameters  $\theta^-, \phi^-$  with the EMA method.
  - 16: **end for**
-

95 **A.6 The Overall Flow of MASIA for Training and Testing**

96 Firstly, to offer a direct impression of our work, we first talk about how agents behave differently  
 97 during decentralized execution vs. centralized training in our method (MASIA). The main differences  
 98 are that we would use state information to help compute the auto-encoder loss and estimate the  
 99  $Q_{\text{tot}}$  (if there is a mixing network in the  $Q$ -network, e.g. MASIA+QMIX) during training phase.  
 100 Besides, multi-step prediction loss is also only computed and optimized in the training phase. During  
 101 decentralized execution, the state decoder, the latent model and the possible mixing network are all  
 102 thrown away. We remain the Information Aggregation Encoder, the focusing network and individual  
 103  $Q$ -networks to ensure the decentralized execution process. The representation loss terms we designed  
 104 are aimed at training the encoder network well.

105 To illustrate the process of training, the overall training flow of MASIA is shown in Alg. 1. Lines  
 106 5~16 express the whole training process, where we apply an off-policy learning algorithm and  
 107 iteratively update the parameters of the model. Specifically, we compute encoder-decoder loss, latent  
 108 model loss and temporal difference loss in Lines 10, 11 and 12, respectively, and do parameter  
 109 updating together in Line 13.

110 Besides, the execution flow of MASIA is shown in Alg. 2. In the execution phase, the agents first  
 111 broadcast their observations to each other, and then each agent calculates its own action  $a_i^t$  by applying  
 112 the focusing network and individual  $Q$  network learned during training, which is described in Lines 4  
 and 5.

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**Algorithm 2** Overall Execution Flow

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**Require:** information aggregation encoder with parameters  $\theta$ , individual  $Q$  network with parameters  $\phi_i$  for each  $Q_i$ , focusing networks with parameter  $\omega_i$  for each agent and agent number  $n$ .

- 1: **for** step = 0 to episode\_limit **do**
  - 2: Each agent broadcasts its observational information  $o_i^t$  at timestep  $t$ , and then each agent feed collected observations  $\mathbf{o}^t = \{o_i^t\}_n$  into the information aggregation network, obtaining  $z^t = f_\theta(\mathbf{o}^t)$ .
  - 3: **for**  $i = 1$  to  $n$  **do**
  - 4: Agent  $i$  calculates  $w_i^t = F_{w_i}(o_i^t)$  by using the focusing network, and obtains extracted information  $\bar{z}_i^t = w_i^t \cdot z^t$ .
  - 5: The  $o_i^t$  and  $\bar{z}_i^t$  are fed into the individual  $Q$  network to calculate  $Q_i(\tau_i^t, \cdot)$ , and agent  $i$  gets action  $a_i^t = \arg \max_a Q_i(\tau_i^t, a)$ .
  - 6: **end for**
  - 7: The agent system interacts with the environment by executing actions  $\{a_i^t\}_n$ .
  - 8: **end for**
- 

113

114 **A.7 Limitations and Possible Negative Societal Impacts**

115 In our work, the agents need to full-communicate their individual observational information first  
 116 before doing further information aggregation. This may be vulnerable when facing communication  
 117 attacks among agents. There exist some recent research works [5, 7] concerning adversarial attacks,  
 118 but the discussions about the problem in multi-agent communication reinforcement learning are still  
 119 limited. How to obtain a robust and efficient communication protocol is of great value. In short,  
 120 broader negative societal impacts have not been found at this stage, and how to handle the possible  
 121 problems we believe will be an interesting direction for research.

122 **References**

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